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JC929 U.S. PTO  
09/28/01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |                                 |
|------------------------|---------------------------------|
| Attorney Docket No.    | KNK-3.2.003/4107                |
| First Inventor         | Vadim Borodovski                |
| Title                  | Prompted Form Filling Mechanism |
| Express Mail Label No. | EL781390356US                   |

JC986 U.S. PTO  
09/28/01  
66597/60

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
  - ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
  - ☒ Specification [Total Pages (preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross Reference to Related Applications
    - Statement Regarding Fed sponsored R & D
    - Reference to sequence listing, a table, or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings (if filed)
    - Detailed Description
    - Claim(s)
    - Abstract of the Disclosure
  - ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets   - Oath or Declaration [Total Pages   - ☒ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☐ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Acknowledgment Postcard

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been specifically identified and submitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

☒ Correspondence address below

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38,536

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*Richard M. Lehrer*

Date:

9/28/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

|   |       |   |       |  |  |                                    |      |                          |                       |                 |  |          |  |
|---|-------|---|-------|--|--|------------------------------------|------|--------------------------|-----------------------|-----------------|--|----------|--|
| <h2 style="margin: 0;">FEE TRANSMITTAL<br/>for FY 2001</h2> <p style="margin: 5px 0;"><i>Patent fees are subject to annual revision.</i></p>  |       |   |       |  |  | <b>Complete if Known</b>           |      |                          |                       |                 |  |          |  |
|   |       |   |       |  |  | Application Number                 |      |                          | To be Assigned        |                 |  |          |  |
|   |       |   |       |  |  | Filing Date                        |      |                          | Concurrently herewith |                 |  |          |  |
|   |       |   |       |  |  | First Named Inventor               |      |                          | Vadim Borodovski      |                 |  |          |  |
|   |       |   |       |  |  | Examiner Name                      |      |                          |                       |                 |  |          |  |
| Group/Art Unit  |       |   |       |  |  |                                    |      |                          |                       |                 |  |          |  |
| <b>TOTAL AMOUNT OF PAYMENT</b>  |       |   |       | (\$ ) 435.00   |  | Attorney Docket No.                |      | KNK-3.2.003/4107         |                       |                 |  |          |  |
| <b>METHOD OF PAYMENT (check one)</b>  |       |   |       |  |  | <b>FEE CALCULATION (continued)</b> |      |                          |                       |                 |  |          |  |
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to<br>Deposit Account Number [03-2317]<br>Deposit Account Name [COBRIN & GITTES]<br><input type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17<br><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 |       |   |       |  |  | <b>3. ADDITIONAL FEES</b>          |      |                          |                       |                 |  |          |  |
|   |       |   |       |  |  | Large Entity                       |      | Small Entity             |                       |                 |  |          |  |
|   |       |   |       |  |  | Code                               | (\$) | Code                     | (\$)                  | Fee Description |  | Fee Paid |  |
| 105   | 130   | 205   | 65    | Surcharge - Late filing fee or oath                            |  |                                    |      |                          |                       |                 |  |          |  |
| 127   | 50    | 227   | 25    | Surcharge - late provisional filing fee or cover sheet         |  |                                    |      |                          |                       |                 |  |          |  |
| 139   | 130   | 139   | 130   | Non-English specification                                      |  |                                    |      |                          |                       |                 |  |          |  |
| 147   | 2,520 | 147   | 2,520 | Request for Reexamination                                      |  |                                    |      |                          |                       |                 |  |          |  |
| 112   | 920*  | 112   | 920*  | Req publication of SIR prior to Examiner action                |  |                                    |      |                          |                       |                 |  |          |  |
| 113   | 1,840 | 113   | 1,840 | Requesting publication of SIR after Examiner action            |  |                                    |      |                          |                       |                 |  |          |  |
| 115   | 110   | 215   | 55    | Extension within first month                                   |  |                                    |      |                          |                       |                 |  |          |  |
| 116   | 390   | 216   | 195   | Extension within second month                                  |  |                                    |      |                          |                       |                 |  |          |  |
| 117   | 890   | 217   | 445   | Extension within third month                                   |  |                                    |      |                          |                       |                 |  |          |  |
| 118   | 1,390 | 218   | 695   | Extension within fourth month                                  |  |                                    |      |                          |                       |                 |  |          |  |
| 128   | 1,890 | 228   | 945   | Extension within fifth month                                   |  |                                    |      |                          |                       |                 |  |          |  |
| 119   | 310   | 219   | 155   | Notice of Appeal   |  |                                    |      |                          |                       |                 |  |          |  |
| 120   | 310   | 220   | 155   | Brief in support of an appeal                                  |  |                                    |      |                          |                       |                 |  |          |  |
| 121   | 270   | 221   | 135   | Request for oral hearing                                       |  |                                    |      |                          |                       |                 |  |          |  |
| 138   | 1,510 | 138   | 1,510 | Petition to institute a public use proceeding                  |  |                                    |      |                          |                       |                 |  |          |  |
| 140   | 110   | 240   | 55    | Petition to revive - unavoidable                               |  |                                    |      |                          |                       |                 |  |          |  |
| 141   | 1,240 | 241   | 620   | Petition to revive - unintentional                             |  |                                    |      |                          |                       |                 |  |          |  |
| 142   | 1,240 | 241   | 620   | Utility issue fee (or reissue)                                 |  |                                    |      |                          |                       |                 |  |          |  |
| 143   | 440   | 243   | 220   | Design issue fee   |  |                                    |      |                          |                       |                 |  |          |  |
| 144   | 600   | 244   | 300   | Plant issue fee  |  |                                    |      |                          |                       |                 |  |          |  |
| 122   | 130   | 122   | 130   | Petitions to the Commissioner                                  |  |                                    |      |                          |                       |                 |  |          |  |
| 123   | 130   | 123   | 130   | Petitions related to provisional applications                  |  |                                    |      |                          |                       |                 |  |          |  |
| 126   | 180   | 126   | 180   | Submit Info Disclosure Stmt                                    |  |                                    |      |                          |                       |                 |  |          |  |
| 581   | 40    | 581   | 40    | Patent Assignment per property                                 |  | \$40.00                            |      |                          |                       |                 |  |          |  |
| 146   | 710   | 246   | 355   | Filing a submission after final rejection (37 CFR 1.129(a))    |  |                                    |      |                          |                       |                 |  |          |  |
| 149   | 710   | 249   | 355   | For each additional invention to be examined (37 CFR 1.129(b)) |  |                                    |      |                          |                       |                 |  |          |  |
| 179   | 710   | 279   | 355   | Request for Continued Examination (RCE)                        |  |                                    |      |                          |                       |                 |  |          |  |
| 169   | 900   | 169   | 900   | Request for expedited examination of a design appln            |  |                                    |      |                          |                       |                 |  |          |  |
| <b>SUBTOTAL (2)</b>   |       |   |       | (\$ )395.00  |  | Other fee (specify) _____          |      |                          |                       |                 |  |          |  |
| **or number previously paid, if greater; For Reissues, see above  |       |   |       |  |  | *Reduced by Basic filing fee Paid  |      | <b>SUBTOTAL (3)</b>      |                       |                 |  |          |  |
|   |       |   |       |  |  |                                    |      | \$40.00                  |                       |                 |  |          |  |
| <b>SUBMITTED BY</b>   |       |   |       |  |  | <b>Complete (if applicable)</b>    |      |                          |                       |                 |  |          |  |
| Name (Print Type)   |       | Richard M. Lehrer   |       | Registration Number  |  | 38,536                             |      | Telephone (212) 486-4000 |                       |                 |  |          |  |
| Signature   |       |  |       |  |  | Date                               |      | September 28, 2001       |                       |                 |  |          |  |